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## **The outcome of peripheral T-cell lymphoma patients failing first line therapy: a report from the prospective, international T-Cell project.**

Monica Bellei<sup>1</sup>, Francine M. Foss<sup>2</sup>, Andrei R. Shustov<sup>3</sup>, Steven M. Horwitz<sup>4</sup>, Luigi Marcheselli<sup>1</sup>, Won Seog Kim<sup>5</sup>, Maria E. Cabrera<sup>6</sup>, Ivan Dlouhy<sup>7</sup>, Arnon Nagler<sup>8</sup>, Ranjana H. Advani<sup>9</sup>, Emanuela A. Pesce<sup>1</sup>, Young-Hyeh Ko<sup>10</sup>, Virginia Martinez<sup>6</sup>, Silvia Montoto<sup>11</sup>, Carlos Chiattoni<sup>12</sup>, Alison Moskowitz<sup>4</sup>, Michele Spina<sup>13</sup>, Irene Biasoli<sup>14</sup>, Martina Manni<sup>1</sup> and Massimo Federico<sup>1</sup> *on behalf of the International T-cell project Network*

<sup>1</sup>Dept. Diagnostic, Clinical and Public Health Medicine, University of Modena and Reggio Emilia, Modena, Italy;

<sup>2</sup>Yale University School of Medicine, New Haven, CT, USA;

<sup>3</sup>University of Washington School of Medicine, Seattle, WA, USA;

<sup>4</sup>Memorial Sloan-Kettering Cancer Center, New York, NY, USA;

<sup>5</sup>Hematology-Oncology Samsung Medical Center, Seoul, South Korea;

<sup>6</sup>Hospital del Salvador, Universidad de Chile, Santiago, Chile;

<sup>7</sup>Hematologia, Hospital Clinic, Barcelona;

<sup>8</sup>Sheba Medical Center, Tel Hashomer; Israel;

<sup>9</sup>Stanford University, Stanford CA;

<sup>10</sup>Department of Pathology, Samsung Medical Center, South Korea;

<sup>11</sup>Department of Haemato-oncology, St. Bartholomew's Hospital, Barts Health NHS Trust, UK;

<sup>12</sup>Departamento de Clínica Médica, FCM da Santa Casa de São Paulo, São Paulo, Brazil;

<sup>13</sup>Medical Oncology A, National Cancer Institute, Aviano, Italy;

<sup>14</sup>Dept of Medicine, University Hospital and School of Medicine, Universidade Federal do Rio de Janeiro, Rio de Janeiro, Brazil.

**Running title:** Dismal outcome of refractory/relapsed PTCL

**Corresponding author:**

Monica Bellei, PhD

Department of Diagnostic, Clinical and Public Health Medicine

University of Modena and Reggio Emilia

Centro Oncologico Modenese,

Via del Pozzo 71, 41124 Modena (MO), Italy

Phone: +39 (0) 59 4224020

Fax: 059 4223602

e-mail: monica.bellei@unimore.it

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**ABSTRACT**

This analysis explored factors influencing survival of patients with primary refractory and relapsed peripheral T-cell lymphomas enrolled in the prospective International T-cell project.

We analyzed data from 1,020 patients with newly diagnosed disease, enrolled between September 2006 and December 2015.

Out of 937 patients who received first line treatment, 436 (47%) were identified as refractory and 197 (21%) as relapsed. Median time from the end of treatment to relapse was 8 months (range, 2-73). Overall, 75 patients (8%) were consolidated with bone marrow transplantation, including 12 refractory and 22 relapsed patients. After a median follow-up of 38 months (range, 1-96) from documentation of refractory/relapse disease, 440 patients had died. The median overall survival was 5.8 months, and the rates of 3-yr overall survival were 21% and 28% for refractory and relapsed patients, respectively ( $p<0.001$ ). Patients receiving or not salvage bone marrow transplantation had a 3-yr survival rates of 48% and 18%, respectively, ( $p<0.001$ ). In a univariate Cox regression analysis, refractory disease was associated with a higher risk of death ( $HR=1.43$ ,  $p=0.001$ ), whereas late relapse ( $>12$  months,  $HR\ 0.57$ ,  $p=0.001$ ) and salvage therapy with transplantation ( $HR=0.36$ ,  $p<0.001$ ) were associated with a better OS. No difference was found in OS with respect to histology.

This study accurately reflects outcomes for patients treated according to standards of care world-wide. Results confirm that peripheral T-cell lymphomas patients had dismal outcome after relapse or progression. Patients with chemotherapy sensitive disease who relapsed  $> 12$  months might benefit from consolidative bone marrow transplantation.

**Trial registration:** clinicaltrials.gov identifier: NCT01142674

## INTRODUCTION

The mature or peripheral T-cell lymphomas (PTCL) encompass a biologically and clinically heterogeneous group of rare neoplasia arising from post-thymic lymphocytes and represent 10-15% of all lymphomas in Western hemisphere <sup>1</sup>.

PTCL patients, except for anaplastic large cell lymphoma (ALCL), anaplastic lymphoma kinase (ALK)-positive, exhibit a poor prognosis<sup>2,3</sup>. Current treatment strategies are largely unsatisfactory both in first line and in the refractory/relapsed settings. First-line therapy relies on CHOP (cyclophosphamide, doxorubicin, vincristine, prednisone) and CHOP-like regimens, with a remission rate of 50-65% <sup>4-6</sup>. Phase II studies demonstrated that early consolidation with high dose chemotherapy and stem cell rescue could improve outcome, but this approach is restricted to good performance status patients and chemotherapy responsive disease. For the majority of patients, risk of relapse remains quite high and relapsed or refractory patients have been shown to have a dismal outcome<sup>7,8</sup>. In the last years, there have been several studies testing novel therapies in this subset of patients <sup>9</sup>.

Two recent observational, population-base studies focused on the outcome of relapsed or refractory PTCL patients have been published<sup>7,8</sup>. The first one, conducted by the British Columbia Cancer Agency, included 208 refractory or relapsed patients diagnosed between 1976 and 2010. The second study included 53 patients identified from Modena Cancer Registry with diagnosis performed between 1997 and 2010. Both showed extremely poor outcome with short remissions (median survival after relapse of 5.5 months and 2.5 months, respectively) and they confirmed that the outcome was superior in patients able to receive a transplant.

International T-cell project is an international prospective cohort study that enrolled patients at 74 academic centers on four continents. The data on epidemiology, clinical features, treatments and outcomes were collected. The purpose of the present study was to analyze clinical features and explore factors influencing survival of patients with primary refractory or relapsed PTCL.

## METHODS

The T-Cell Project (TCP, NCT01142674), sponsored by the International T-Cell Lymphoma Project (ITCLP), was inceptioned in 2006, and builds on the retrospective study carried on by the network<sup>2</sup>. Patients with different PTCL subtypes as from the WHO 2001 or WHO 2008 classifications<sup>1,10</sup> were registered in the International T-cell project at initial diagnosis. TCP is a prospective cohort study that collected clinical and diagnostic information to better define clinical characteristics, therapies and prognosis for the most frequent subtypes of PTCL - i.e. PTCL not otherwise specified (PTCL-NOS) and Angioimmunoblastic T-cell Lymphoma (AITL) - and to better outline clinical characteristics and outcome of the less common PTCL subtypes (Extranodal NK/T-cell lymphoma; Enteropathy-type T-cell lymphoma; Hepatosplenic T-cell lymphoma; Peripheral gamma-delta T-cell lymphoma; Subcutaneous panniculitis-like T-cell lymphoma)<sup>11</sup>. Data was collected on front-line treatment, response evaluation and updated follow-up for at least 5 years. Also patients who did not receive any kind of treatment should be registered in the study. Data management was performed at the Trial Office in Modena, Italy (Department of Diagnostic, Clinical and Public Health Medicine, University of Modena and Reggio Emilia). Registration was based on locally established histological diagnosis. A panel of expert hematopathologists reviewed diagnosis of 70% of all patients; about 4% could not be adequately classified by central reviewers and were retained in the study with the diagnosis made by local pathologist; finally, for 26% of cases the samples were not centralized and these cases were evaluated on the basis of the local diagnosis.

The International T-cell project was conducted in compliance with the Helsinki Declaration, it was approved by the appropriate research Ethics Committees or Institutional Review Boards at each participating Institution and it required each patient to provide written informed consent before registration.

### ***Endpoints definition***

The principal endpoint of the analysis was survival after relapse (SAR) for patients who relapsed, measured from date of relapse until last follow-up or death from any cause.

Conventional response assessment after the first treatment have been adapted from the Standardize Response Criteria for Non-Hodgkin's Lymphoma and from Recommendations for revised Response Criteria for malignant Lymphoma <sup>12,13</sup>; assessments were done by CT-scan or PET-scan according to physician discretion and responses were determined by the treating physician.

For the present analysis, primary refractory disease was defined as no response or progression to initial treatment within one month from the end of initial therapy or unsatisfactory partial remission (PR), i.e. a PR that at physician's judgment was considered as inadequate for the patient, and thus requiring salvage therapy immediately after completion of frontline treatment. Relapsed disease was defined as progression at least one month from completion of front line therapy in patients who achieved a complete remission (CR) or a satisfactory PR.

### ***Statistical analysis***

Standard descriptive analyses were carried out. For a crude association analysis, categorical data were analyzed using the chi-square or Fisher's exact test (two-sided) for data analysis. Survival curves were estimated using the Kaplan–Meier method, and compared using the log-rank test.

Univariate regression analyses were conducted to identify prognostic factors associated with SAR. Odds ratios with their 95% confidence intervals (95CI) were computed. Two-tailed *P* values <0.05 were considered statistically significant. The Stata software, version 14.0 or greater (StataCorp. LLC 4905 Lakeway Drive, College Station, TX 77485 USA. [www.stata.com](http://www.stata.com)) was used for data analysis.

## **RESULTS**

From September 2006 to July 2016, 1,451 patients have been registered by 74 institutions world-wide. Among them, 1020 had baseline clinical data, information on first line treatment, response to initial therapy, time to relapse and salvage treatment available for evaluation. At the time of diagnosis 83 patients (8%) received only best supportive care and were excluded from this analysis. Out of 937 patients who received an active treatment, 633 (68%) were identified as refractory or relapsed patients, while 304 (32%) patients remained in complete remission.

Among the 633 refractory/relapsed patients, 436 (69%) were classified as refractory and 197 (31%) as relapsed patients. The median time to relapse was 8 months (range 2-73 months).

Among the relapsed patients, 125 (63%) presented with an early relapse ( $\leq 12$  months) and 72 (37%) presented with late relapse ( $> 12$  months).

Main baseline patients' characteristics of refractory/relapsed patients and all of the analyzed subset are shown in Table 1. The median age at diagnosis of refractory patients was 59 years (range 18-89) and that of relapsed patients was 58 years (range 21-88). Thirty-three percent of refractory patients and 16% of relapsed patients had ECOG performance status  $> 1$  at diagnosis, respectively. As shown in Table 1, a similar number of patients with AITL and PTCL had refractory (AITL - 16%, PTCL, NOS - 42%) or relapsed (AITL - 21%, PTCL, NOS - 42%) disease. Patients with ALCL, ALK- were more likely to have refractory disease than ALCL, ALK+ (14% vs. 5%), but the frequency of relapsed disease was similar between both groups (11%, vs. 7%).

The majority of patients (844, 90%) received chemotherapy +/- radiotherapy as first line treatment and 75 (8%) were consolidated with high dose therapy (HDT) and hematopoietic cell transplantation (HCT) (Table 1). HCT was considered to be part of 1<sup>st</sup> line therapy when it was given within 6 weeks from the end of the induction chemotherapy; in addition, patients who received HCT after 6 weeks from the end of initial chemotherapy but for whom the clinician specified in the planned treatment schedule that HCT was going to be given as consolidation, and who did not receive additional salvage therapies were considered to have received HCT as



part of 1<sup>st</sup> line therapy as well. Of those who received HDT, 41 patients were in first remission, 12 (3%) had refractory and 22 (11%) had relapsed disease.

Details of salvage treatments are shown in Table 2. Overall, 99 patients (16%) received HCT as part of salvage treatment. Of those with refractory disease, 62% did not achieve at least a PR with salvage therapy and were therefore not eligible to undergo transplantation. Twenty-nine percent responded well to salvage therapy but were not considered candidates for a transplantation. In the relapsed group, likewise, most of the patients (71%) did not undergo transplantation. Data on the reason why patients eligible for transplant were not referred to HCT consolidation was not collected: the choice if to use transplantation or not relied on physician's decision.

### ***Survival after relapse***

After a median follow-up of 38 months (range 1-96 months) from documentation of refractory/relapsed disease, 440 (70%) patients had died. The median survival after relapse (SAR) was 5.8 months (95CI 4.9-7.2 months) and 3-yr SAR was 23% (95CI 19-27) (Figure 2A). Median SAR for refractory and relapsed patients were 5 and 11 months respectively, and 3-yr SAR rates were similar for both groups at 21% (95CI 17-25) and 28% (95CI 21-35), respectively (Figure 2B). Univariate analysis showed that in the first 24 months refractory patients had a poorer outcome with respect to relapsed patients (HR 1.50, 95CI 1.12-1.86,  $p<0.001$ ), while after 24 months their outcome became similar to that of the relapsed (HR 0.75, 95CI 0.34-1.64,  $P=0.470$ ). (Figure 2B). No difference was found in outcomes for refractory/relapsed patients with respect to PTCL subtype, with the exception of ALCL, ALK+ (Figure 3).

As expected, patients responding to salvage therapy who proceeded to HCT had a better outcome compared to patients with no response (therefore, ineligible for HCT), with 3-yr survival rates of 48% and 18%, respectively ( $p<0.001$ ). Similarly, patients proceeding to HCT had

significantly better outcome than patients who were eligible but did not undergo HCT for any reason (3-yr SAR 48% and 27% (Table 3 and Figure 4).

In a univariate Cox regression analysis, refractory disease was associated with a higher risk of death compared to relapsed patients (HR=1.43, 95CI 1.16-1.76,  $p=0.001$ ), whereas late relapse compared to early relapse (HR=0.57, 95CI 0.41-0.79,  $p=0.001$ ) and salvage therapy with HDT compared to no HDT (HR=0.36, 95% CI 0.26-0.48,  $p<0.001$ ) were associated with a longer SAR (Table 3).

## DISCUSSION

The International T-cell project represents the largest cohort of prospectively collected data on patients with aggressive T-cell lymphomas and accurately reflects outcomes for patients treated according to standards of care around the world. In the present study, we sought to analyze the outcomes of patients with relapsed and refractory disease after failure of first line therapy and to explore potential prognostic factors influencing survival, retrieved from this database. We demonstrated that the outcomes are worse for patients with refractory disease and that the SAR at 3 years for these patients was only 21%. We also found that late relapse and consolidation with HCT were associated with a longer survival in chemotherapy sensitive patients.

In our analysis, refractory/relapsed patients presented a poor risk profile. Sixty-nine percent of failing patients were refractory to first-line treatment and 80% of refractory and 70% of relapsed patients had advanced stage disease at diagnosis. Fifty percent of refractory and 33% of relapsed patients were at high-risk according to PIT; 50% and 32% of relapsed and refractory patients, respectively, were at high-risk according to IPI.

Survival was poor in our cohort, with a median SAR for refractory and relapsed patients of only 5 and 11 months, respectively. The results from this prospective cohort confirm findings from other reports that refractory disease is a poor prognostic factor <sup>7,8</sup>. While late relapse occurring after 12 months vs. early relapse at less than 12 months from front-line therapy was associated

with a longer survival, only 11% of patients were in the late relapse category, as most relapses occurred within one year from front-line therapy. Surprisingly, there was no difference in outcomes for refractory/relapsed patients with respect to PTCL subtype, suggesting that significant improvements are needed in treatment strategies for all subtypes of PTCL. We were surprised to find similar survival rates between relapsed and refractory PTCL patients at 3 years post completion of therapy. However, further analysis provided that within the first 24 months of follow up, relapsed patients had superior survival, and it is only past that time point that the advantage disappeared. These results suggest that within a category of relapsed patients there is a subgroup with biologically refractory disease and current definitions based on clinical responses are not sensitive enough to identify individuals that would benefit from alternative approaches rather than standard salvage protocols. Furthermore, only about half of the refractory PTCL patients exhibited clinical high-risk based on IPI or PIT scores at diagnosis. Emerging genome-wide analysis at diagnosis and/or relapse might overcome these restrictions and better guide initial and salvage therapy in near future.

In the relapsed and refractory setting, the best chance of long term remission and best outcomes occurred in patients with late (>12 months) who were able to undergo HDT followed by HCT, with SAR at 3 years of 48%. A major problem remains that only 16% of the patients could proceed to this strategy as part of the salvage treatment due to refractoriness to induction therapy, early relapses, ineffective salvage therapies, and overall poor performance status and patient-specific factors. Two recent population-based retrospective studies focusing on the outcome of relapsed or refractory PTCL patients have been published and they reported a similar poor outcome (median survival after relapse of 5.5 months and 2.5 months)<sup>7,8</sup>. Likewise, the outcome was far superior in patients able to receive a transplant. Taken together, the current challenge remains to increase the response rates of induction therapies to raise the number of eligible patients for the most effective available curative intent treatment. Moreover, these results highlight the urgent need for novel agents and more effective salvage therapies.

Advances in understanding the biology and genetics of T-cell lymphomas have led to the identification of several potential novel targets<sup>14–17</sup>. Recently, four new-generation drugs have been approved in USA in refractory/relapsed TCL: pralatrexate (antifolate), romidepsin and belinostat (histone deacetylase inhibitor), and brentuximab vedotin. In addition to these approved drugs, a number of novel drugs with different mechanisms are under investigation: crizotinib (oral tyrosine kinase inhibitor of ALK), mogamulizumab, duvelisib, plitidepsin, and selinexor<sup>9,18</sup>. Hopefully, these agents will have an impact both combined with front line chemotherapy as well as in the relapsed and refractory setting.

Although patient's cohort could be not completely homogeneous (Investigators were requested to register consecutive cases satisfying Inclusion criteria without selection), the amplitude of the International T-cell Project reflects the real-world scenario, obtained through a multi-national database describing the distribution of PTCL subtypes and therapeutic outcomes with standard therapies. Our results complement those of the COMPLETE registry (NCT01110733), a similar prospective study of PTCL patients in the United States. These results will provide a useful baseline on which to assess the efficacy of novel agents and therapies for refractory/relapsed patients with T-cell lymphomas. Clinical trials are underway exploring the activity of novel agents in combination with chemotherapy to improve overall response in the front line, and single agent and combination studies of novel agents are underway for patients with refractory/relapsed disease.

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**Table 1.** Main characteristics at diagnosis of 436 refractory and 197 relapsed patients, and of all 937 patients analyzed

Parameter	Refractory (n=436)		Relapsed (n=197)		All (n=937)	
	N	%	N	%	N	%
Median age (range), Years	59 (18-89)		58 (21-88)		56 (18-89)	
Age, >60 years, N, %	203	<b>47</b>	90	<b>46</b>	401	<b>43</b>
Gender, Male, N, %	273	<b>63</b>	136	<b>69</b>	579	<b>62</b>
ECOG-PS, >1, N, %	143	<b>33</b>	31	<b>16</b>	214	<b>23</b>
Serum LDH, > ULN, N, % [578]	234	<b>54</b>	82	<b>42</b>	404	<b>43</b>
Ann-Arbor Stage, III-IV, N, % [591]	325	<b>75</b>	128	<b>65</b>	605	<b>65</b>
ENS, >1, N, % [568]	148	<b>34</b>	43	<b>22</b>	250	<b>27</b>
Serum Albumin, <3.5 g/dL, N, % [562]	204	<b>47</b>	70	<b>36</b>	359	<b>38</b>
NLR, >6.5, N, % [598]	125	<b>29</b>	48	<b>24</b>	231	<b>25</b>
PIT, High-risk (2-4), N, % [503]	172	<b>39</b>	52	<b>26</b>	283	<b>30</b>
IPI, High-risk (3-5), N, % [551]	184	<b>42</b>	53	<b>27</b>	294	<b>31</b>
Histology Subtype						
PTCL, NOS	185	<b>42</b>	83	<b>42</b>	346	<b>37</b>
AITL	70	<b>16</b>	42	<b>21</b>	154	<b>16</b>
ALCL, ALK -	60	<b>14</b>	22	<b>11</b>	140	<b>15</b>
ALCL, ALK +	21	<b>5</b>	14	<b>7</b>	77	<b>8</b>
NKTCL	35	<b>8</b>	21	<b>11</b>	109	<b>12</b>
Other	65	<b>15</b>	15	<b>8</b>	80	<b>9</b>
1 <sup>st</sup> line Therapy						
CHT +/- RT	422	<b>97</b>	174	<b>88</b>	844	<b>90</b>
RT alone	2	<b>&lt;1</b>	1	<b>&lt;1</b>	18	<b>2</b>
CHT/consolidation HDT	12	<b>3</b>	22	<b>11</b>	75	<b>8</b>
Response to 1 <sup>st</sup> line Therapy						
CR	-	-	170	<b>86</b>	474	<b>51</b>
PR	137	<b>31</b>	27	<b>14</b>	164	<b>18</b>
<PR	299	<b>69</b>	-	-	299	<b>32</b>

**Table 2.** Details of treatment and events for the refractory/relapsed patients (n=633).

Parameter	Refractory (n=436)		Relapsed (n=197)		All (n=633)	
	N	%	N	%	N	%
Type of event						
Relapse after CR	-	-	170	<b>86</b>	170	<b>27</b>
Relapse after PR	-	-	27	<b>14</b>	27	<b>4</b>
Unsatisfactory PR	137	<b>31</b>	-	-	137	<b>22</b>
Refractory (<PR)	299	<b>69</b>	-	-	299	<b>47</b>
Timing of events						
Refractory	436	<b>69</b>	-	-	436	<b>69</b>
Early relapse (≤ 12 months)	-	-	125	<b>20</b>	125	<b>20</b>
Late relapse (>12 months)	-	-	72	<b>11</b>	72	<b>11</b>
HCT as Salvage						
HCT, yes	42	<b>9</b>	57	<b>29</b>	99	<b>16</b>
No HCT (eligible for HDT)	-	-	124	<b>63</b>		
No HCT (CR/PR not eligible to HDT)	125	<b>29</b>	16	<b>8</b>		
No HCT (<PR not eligible to HDT)	269	<b>62</b>	-	-		
No HCT (whichever the reason)	394	<b>91</b>	140	<b>71</b>	<b>534</b>	<b>84</b>

*CR: complete remission; PR: partial remission; Unsatisfactory PR: PR requiring immediate treatment after initial therapy; HCT: hematopoietic cell transplantation.*



**Table 3.** Univariate Cox regression analysis for SAR.

Status	3-yr SAR%(95CI)	HR (95CI)
Relapse	28 (21-35)	1.00
Refractory	21 (17-25)	1.43 (1.16-1.76)
Early relapse ( $\leq$ 12 months)	23 (16-32)	1.00
Late relapse ( $>$ 12 months)	34 (21-48)	0.57 (0.41-0.79)
Not eligible to HCT $<$ PR	7 (3-11)	1.00
Not eligible to HCT (CR/PR)	30 (21-38)	0.43 (0.34-0.55)
Eligible HCT (CR/PR)	27 (19-36)	0.45 (0.35-0.58)
HCT	48 (37-58)	0.22 (0.16-0.30)
No HCT at salvage	18 (14-22)	1.00
HCT at salvage	48 (37-58)	0.36 (0.26-0.48)

*SAR: survival after relapse; HCT: hematopoietic cell transplantation.*

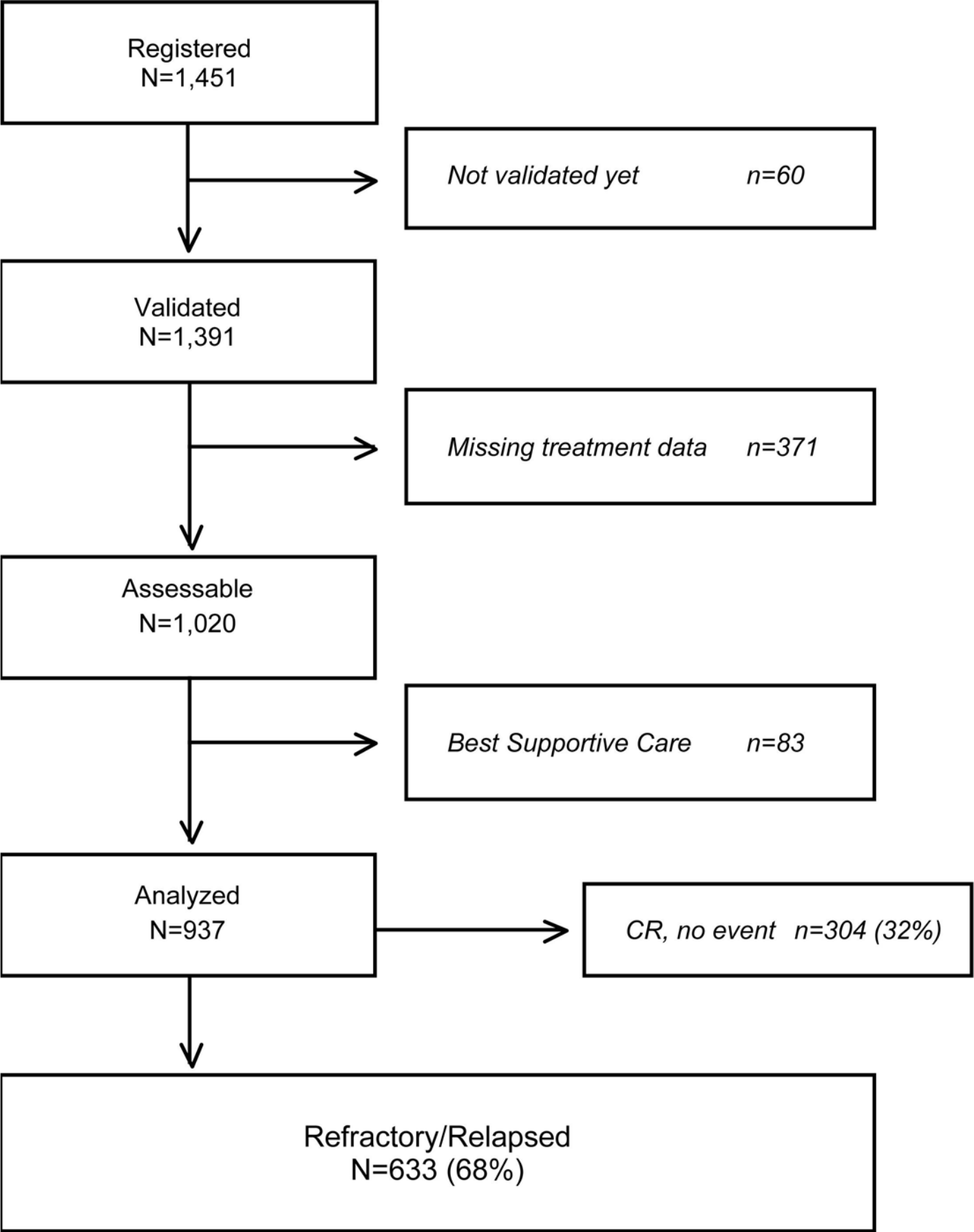
**Figure legends.**

**Figure 1:** Flow chart of patients included in the analysis.

**Figure 2:** Survival After Relapse: *Panel A*, SAR curve of 633 refractory/relapsed patients; *Panel B*: SAR by status refractory vs. relapse.

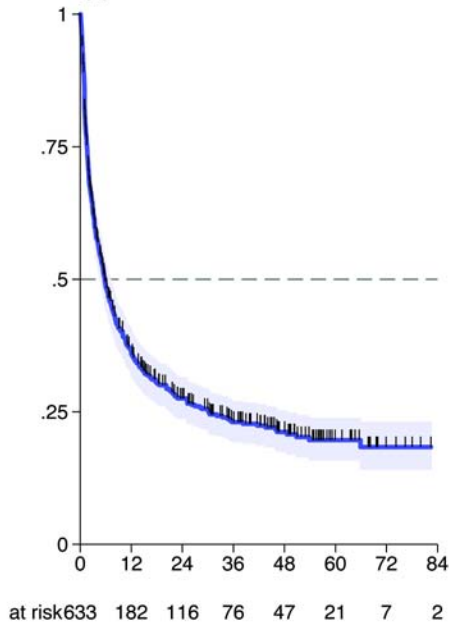
**Figure 3.** Outcomes for refractory/relapsed patients depending on histological subtypes.

**Figure 4:** Survival After Relapse by salvage therapy (HCT vs. no-HCT).

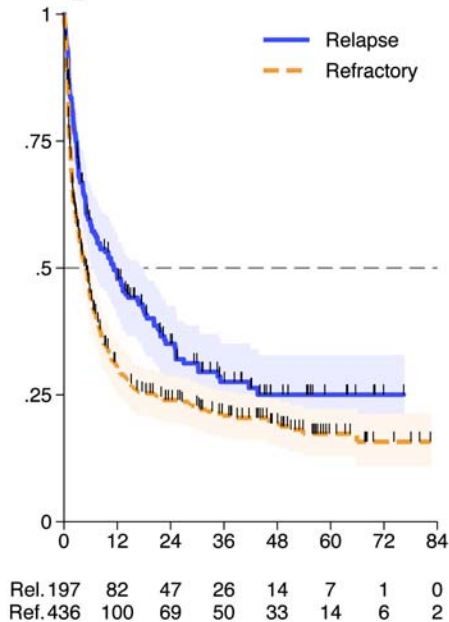


Cumulative probability

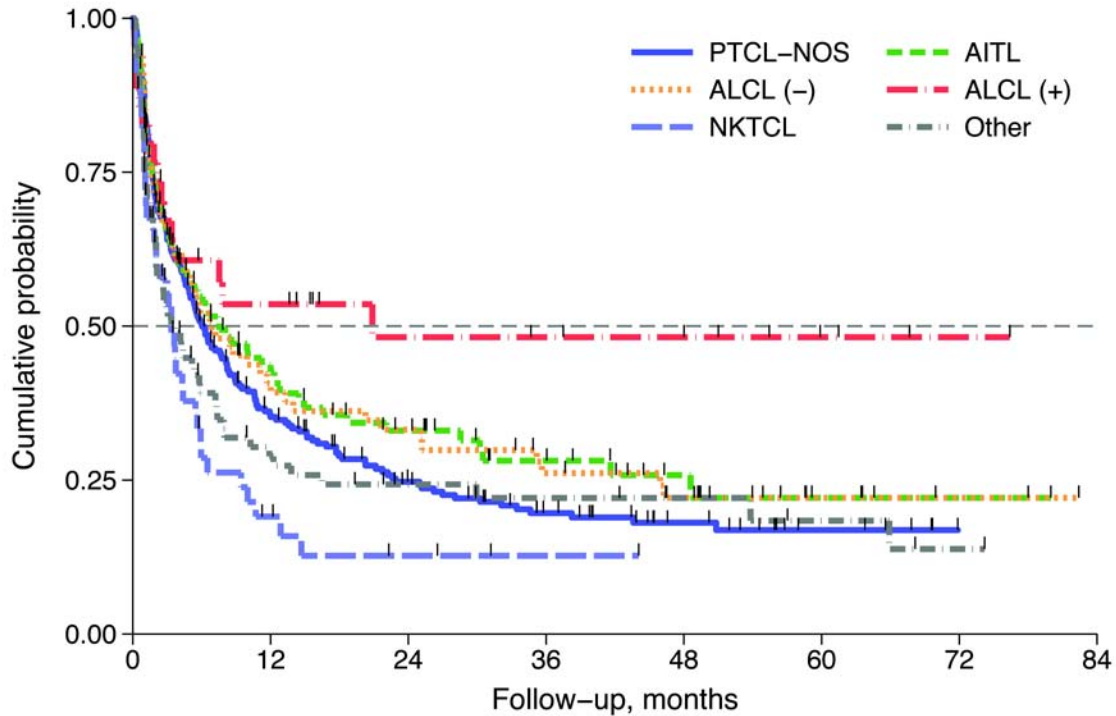
A

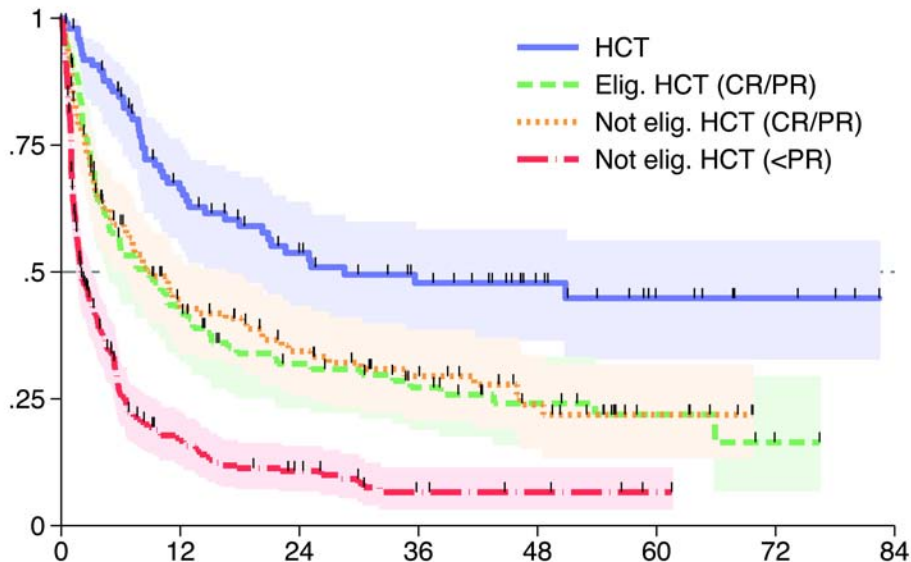


B



Follow-up, months





HCT	99	56	39	29	18	9	5	1
Elig. HCT	124	48	30	21	13	7	1	0
Not elig. CR/PR	141	47	31	20	12	4	1	1
Not elig. <PR	269	31	16	6	4	1	0	0